Ab Initio SCF and DFT Studies on Solvent Effects on Intramolecular Rearrangement Reactions

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Two intramolecular rearrangement reactions trans-N₂H₂ $\rightarrow cis$ -N₂H₂ and F₂S₂ \rightarrow FSSF have been studied in both gas and solution phases using the ab initio SCF method at the Hartree–Fock level as well as using density functional theory with B3LYP exchange-correlation functionals with 6-311G** and 6-311++G** basis sets. Polarizability calculations have been performed using Sadlej's basis set also. Maximum hardness and minimum polarizability principles have been found to be valid in almost all cases. For the former reaction, the maximum molecular valency principle is obeyed. Reactions become more favorable, thermodynamically and kinetically, in the presence of the solvent. Variation of electrophilicity along the internal reaction coordinate is analyzed in terms of the profiles of the global electrophilicity index as well as condensed electrophilic Fukui functions at different atomic sites. It is found that electrophilicity decreases in the solution phase.

1. Introduction

Intramolecular rearrangement reactions are present in a vast majority of organic reactions that involve changes at functional groups while the molecular skeletons of the reactants remain unchanged.¹ Usually, these reactions proceed through a cyclic transition state (TS) containing four, five, or six atoms. These reactions have been shown to be important in gaining insights into the popular chemical concepts associated with reactivity and selectivity. Although chemical reactivity is characterized by global reactivity parameters like electronegativity^{2,3} (χ), hardness^{4–7} (η), polarizability^{8,9} (α), molecular valency^{10,11} (V_M), etc., the selectivity is usually understood in terms of local functions like the Fukui function¹² (f(r)) and local softness¹³ (s(r)) or their condensed-to-atom variants.^{14,15} Electronegativity¹⁶ (χ) and hardness¹⁷ (η) have been defined within density functional theory¹⁸ (DFT) as follows:

$$\chi = -\mu = -\left(\frac{\partial E}{\partial N}\right)_{\nu(r)} \tag{1}$$

and

$$\eta = \frac{1}{2} \left(\frac{\partial^2 E}{\partial N^2} \right)_{\nu(r)} \tag{2}$$

where μ and $\nu(r)$ are the chemical and the external potentials of an *N*-electron system with total energy *E*.

According to the electronegativity equalization principle,¹⁹ "all the constituent atoms in a molecule have the same electronegativity value which is roughly equal to the geometric mean of the electronegativities of the isolated atoms." The hard– soft acids–bases (HSAB) principle^{4–6,17,20} states that, "among the potential partners of same electronegativity, hard likes hard and soft likes soft", and the statement of the maximum hardness principle^{21,22} (MHP) is, "there seems to be a rule of nature that molecules arrange themselves so as to be as hard as possible." On the basis of an inverse relationship²³ between α and η , a minimum polarizability principle^{24,25} (MPP) has been proposed as, "the natural direction of evolution of any system is toward a state of minimum polarizability." It has also been found^{10,11,26} that the molecular valency often becomes maximum for the most stable configuration conformation. These electronic structure principles help in understanding the reactivity pattern associated with any physicochemical process.

The most important local descriptor of site selectivity is the Fukui function,¹² which is defined as follows:

$$f(r) = \left(\frac{\delta\mu}{\delta\nu(r)}\right)_{N} = \left(\frac{\partial\rho(r)}{\partial N}\right)_{\nu(r)}$$
(3)

Three types of Fukui functions can be defined¹² on the basis of the discontinuity of the $\rho(r)$ vs *N* curve,²⁷ viz.

$$f^{\dagger}(r) = \left(\frac{\partial \rho(r)}{\partial N}\right)_{\nu(r)}^{\dagger} = \left[\rho_{N+1}(r) - \rho_N(r)\right] \simeq \rho_{\text{LUMO}}(r) \quad (4)$$

for nucleophilic attack

$$f^{-}(r) = \left(\frac{\partial \rho(r)}{\partial N}\right)_{\nu(r)}^{-} = \left[\rho_{N}(r) - \rho_{N-1}(r)\right] \simeq \rho_{\text{HOMO}}(r) \quad (5)$$

for electrophilic attack

$$f^{\circ}(r) = \left(\frac{\partial \rho(r)}{\partial N}\right)^{\circ}_{\nu(r)} = \frac{1}{2}[f^{+}(r) + f^{-}(r)] = \frac{1}{2}[\rho_{\text{LUMO}}(r) + \rho_{\text{HOMO}}(r)] \quad (6)$$

for radical attack.

It is possible to condense f(r) to a specific atom in a molecule by taking the respective electron population. Three different local softness s(r) can also be defined^{13,28} using the relation

$$s(r) = f(r)S \tag{7}$$

where f(r) can be taken from eqs 4–6 and the global softness²⁹

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TABLE 1: Computed Frequencies at the Stationary Points along the IRC for the Intramolecular Conversion of N_2H_2 and $F_2S_2^a$

| | | | HF/6-311G** $\epsilon = 1$. | .0 | | |
|---------------------------------|--------------------------|-----------------------|-------------------------------|-----------------------|-----------------------|-----------------------|
| $t-N_2H_2$ | BU 1462.0592 | AU 1474.1115 | AG 1741.1232 | AG 1895.4072 | AG 3556.0421 | BU 3591.0630 |
| TS | A 1754.5837 i | A 791.8715 | A 1669.8337 | A 1886.4194 | A 3297.0322 | A 4121.3919 |
| $c-N_2H_2$ | A 1408.0324 | A 1494.0996 | A 1699.3821 | A 1891.7399 | A 3455.0920 | A 3528.3690 |
| | | H | $IF/6-311++G^{**}\epsilon =$ | 1.0 | | |
| | BU | AU | AG | AG | AG | BU |
| $t-N_2H_2$ | 1457.2553 | 1465.2795 | 1734.9799 | 1881.6253 | 3565.7299 | 3601.2602 |
| TS | A' 1739.5208 i A'' | A'' 767.5658 A' | A' 1660.1917 A' | A' 1871.4887 A' | A' 3314.6946 A' | A' 4122.6989 A' |
| $c-N_2H_2$ | 1359.6754 | 1489.5971 | 1692.3113 | 1882.1014 | 3463.4060 | 3532.9798 |
| | | E | $3LYP/6-311G^{**}\epsilon =$ | 1.0 | | |
| | AU | BU | AG | AG | AG | BU |
| $t-N_2H_2$ | 1356.1185 | 1360.5972 | 1608.0639 | 1654.1063 | 3218.8366 | 3248.6521 |
| TS | 1608.2753 <i>i</i> | 697.5265 | 1524.7083 | 1693.7829 | 2771.7068 | 3905.5771 |
| | A‴ | A' | Α' | Α' | Α' | Α' |
| $c-N_2H_2$ | 1280.8038 | 1343.2434 | 1552.1370 | 1663.6634 | 3056.8630 | 3162.0374 |
| | ATT | B3 | LYP/6-311++G** ϵ | = 1.0 | | DII |
| $t-N_2H_2$ | 1346.7141 | 1355.2744 | 1594.2533 | 1647.0873 | 3233.9888 | 3266.5587 |
| 22 | A' | A' | A' | A' | A' | A' |
| TS | 1445.2883 i | 775.4442 | 1511.5311 | 1691.4550 | 2926.9921 | 3854.1506 |
| c-N ₂ H ₂ | A'' 1271.2973 | A' 1341.1728 | A' 1542.8268 | A' 1656.3416 | A' 3073.0734 | A' 3169.7275 |
| 0 11212 | 12/112/70 | 10 111 / 20 | $HE/6-311G^{**} \epsilon = 1$ | 0 | 201210121 | 010011210 |
| | А | А | A A | А | А | А |
| F_2S_2 | 295.7075 | 371.8227 | 461.4415 | 715.2972 | 801.8829 | 832.5680 |
| тс | A 555 8837 j | A 179 9070 | A 351 4232 | A 305 2014 | A 795 8474 | A 877 6747 |
| 15 | A | A | A | A | A | A |
| FSSF | 184.3117 | 293.2211 | 348.8175 | 569.3182 | 799.2924 | 824.8564 |
| | | H | $IF/6-311++G^{**} \epsilon =$ | 1.0 | | |
| EC | A 200.0587 | A 280 6641 | A 450 6727 | A 715 5544 | A 704 4600 | A |
| $\Gamma_2 S_2$ | 290.9387 A | 380.0041 A | 439.0727 A | /15.5544 A | 794.4600 A | 820.0090 A |
| TS | 523.4909 i | 180.0368 | 350.6538 | 388.6991 | 794.4424 | 873.0159 |
| ESSE | A 182 6462 | A 202 0133 | A 348 3070 | A 570 2207 | A 796 2444 | A 823 2602 |
| 1.991 | 182.0402 | 292.9133 | 21 VD/6 211 C** c = | 1.0 | 790.2444 | 823.2092 |
| | А | A | A | 1.0 A | А | А |
| F_2S_2 | 242.2870 | 297.1974 | 363.7258 | 590.8282 | 635.2083 | 719.8575 |
| TS | A 452 0122 ; | A 147 1120 | A 288 5802 | A 256 1802 | A 662 2272 | A 726 8014 |
| 15 | 432.0122 l A | A | 200.3002 A | A | A | A |
| FSSF | 180.0686 | 272.2436 | 297.4197 | 517.9876 | 614.0682 | 643.9727 |
| | | B3 | LYP/6-311++G** ϵ | = 1.0 | | |
| EC | A | A | A 259 5100 | A | A | A |
| F_2S_2 | 241.1224 A | 293.5432 A | 358.5190 A | 575.6219 A | 627.3023 A | /1/.//61 A |
| TS | 438.0688 i | 142.3575 | 289.7168 | 361.7948 | 657.7996 | 725.0464 |
| FSSE | A 173 1405 | A 270 9562 | A 295 0883 | A 570 8126 | A 608.0150 | A 641 1000 |
| 1 991 | 175.1405 | 210.7502 | 275.0005 | 570.0120 | 000.0133 | 0+1.1090 |

^{*a*} Values are given in cm⁻¹.

S is the inverse of hardness:

$$S = 1/(2\eta) \tag{8}$$

Recently, Parr et al.³⁰ have introduced an electrophilicity index ω defined as

$$\omega = \mu^2 / (2\eta) \tag{9}$$

According to the definition of ω , this index measures the propensity of a species to "soak up" electrons.³⁰

The solvent plays an important role in most of the reactions occurred in the solution phase, however very little work has been done to better understand the specific effect of the solvent in these reactions vis-à-vis the variations in different global and local reactivity descriptors. For this purpose, in the present work, we study two intramolecular rearrangement reactions: *trans*-N₂H₂ \rightarrow *cis*-N₂H₂ (reaction I) and F₂S₂ \rightarrow FSSF (reaction II) in a vacuum as well as in aqueous solution in terms of the profiles of μ , η , α , ω , condensed form of *f*(*r*), etc. Atomic and

TABLE 2: Global Molecular Properties at the Three Stationary Points along the IRC for the Intramolecular Conversion of N_2H_2 and F_2S_2

| | energy | dipole moment | α_{Pople} | α_{Sadlej} | μ | η | ω | |
|---|---|---------------|------------------|--------------------------|------------------------------|--------------------------|------------------------|--------------|
| | [au] | [D] | [au] | [au] | [kcal/mol] | [kcal/mol] | [kcal/mol] | $V_{\rm M}$ |
| | | | Н | F/6-311G** | $\epsilon = 1.0$ | | | |
| $t-N_2H_2$ | -110.027 833 | 0.0000 | 13.413 | 17.606 | -80.355 729 | 169.399 327 | 19.058 64 | 1.899 37 |
| TS | -109.937 346 | 2.2149 | 13.301 | 19.402 | -54.448 999 | 146.598 769 | 10.111 59 | 1.711 83 |
| $c-N_2H_2$ | -110.016 /64 | 3.1624 | 13.772 | 17.865 | -83.066 570 | 169.305 201 | 20.377 56 | 1.853 73 |
| | | | H | F/6-311G** | $\epsilon = 78.5$ | | | |
| $t-N_2H_2$ | -110.033 148 | 0.0000 | 16.080 | 21.468 | -77.039 341 | 169.546 792 | 17.502 72 | 1.939 05 |
| 15 | -109.945 451 | 2.5957 | 15.928 | 23.856 | -51.286.351 | 146.975 275 | 8.948 07 | 1.720.60 |
| C-IN ₂ H ₂ | -110.026 944 | 3.0843 | 10.240 | 21.034 | -/8.401 03/ | 109.084 844 | 18.112 17 | 1.952.04 |
| | | 0.0000 | HF | /6-311++G* | $\epsilon \epsilon = 1.0$ | | 10 101 00 | |
| $t-N_2H_2$ | -110.032 331 | 0.0000 | 15.579 | 17.606 | -110.58 9137 | 143.269 010 | 42.681 80 | 1.947 79 |
| | $-109.943\ 607$ $-110\ 020\ 262$ | 2.21/6 | 15.033 | 19.402 | -90.314305 -116277510 | 110.123 104 | 35.120.80 | 1.462 13 |
| C-1N2112 | 110.020 202 | 5.2711 | 15.670 | 17.805 | -110.277 510 | 139.013 /00 | 46.420 93 | 1.000 55 |
| | 110 027 579 | 0.0000 | HF/ | $6-311++G^{**}$ | $* \epsilon = 78.5$ | 142 (7(999 | 29.710.60 | 2 011 29 |
| $t-N_2H_2$ | -110.03/5/8 | 0.0000 | 18.695 | 21.468 | -105.468 659 | 143.0/0 888 | 38./10.60 | 2.011 28 |
| 15 a N.H. | -109.931070 -110020625 | 2.0230 | 19.230 | 23.830 | -84.1800 / 3 -108 386 578 | 117.130 320 | 30.23272 | 1.175 49 |
| C-1N2112 | 110.030 025 | 5.8505 | 10.004 | 21.034 | -108.380 378 | 141.800 040 | 41.423 12 | 2.042 24 |
| | 110 (70 057 | 0.0000 | B3L | _YP/6-311G* | $\epsilon^* \epsilon = 1.0$ | 50,400,170 | 76 000 50 | 1 72 4 0 4 |
| $t-N_2H_2$ | -110.672.857 | 0.0000 | 13.912 | 19.291 | -94.308 403 | 58.490 160 | 76.030 52 | 1.724 94 |
| | -110.591/30 -110.663/452 | 2.0810 | 14.118 | 22.180 | -71.304/08 -07.001/007 | 58./0/ 55/ 58.001.170 | 03.943 30 | 1.033 /3 |
| <i>C</i> -1 N ₂ 11 ₂ | 110.003 432 | 2.9319 | 14.390 | 19.093 | 97.091 407 | 38.901 179 | 80.021 07 | 1.025 21 |
| | | 0.0000 | B3L | YP/6-311G* | $* \epsilon = 78.5$ | | | 1 200 25 |
| $t-N_2H_2$ | -110.677 596 | 0.0000 | 16.614 | 23.515 | -90.938 677 | 58.778 815 | 70.347 14 | 1.780 35 |
| | -110.598 575 | 2.4426 | 16.890 | 27.431 | -68.2510/1 -02.124670 | 39.053 054 | 59.639 49 | 1.665 00 |
| <i>C</i> -1 N ₂ 11 ₂ | 110.072 232 | 5.4005 | 17.001 | 24.090 | 92.124 070 | 39.249 447 | /1.020-54 | 1.740.80 |
| | 110 (70 ((0 | 0.0000 | B3LY | (P/6-311++0 | $G^{**} \epsilon = 1.0$ | 57 505 600 | 02 252 44 | 1 400 07 |
| $t-N_2H_2$ | -110.679.668 | 0.0000 | 16.341 | 19.291 | -103.699.082 | 57.595 629 | 93.353 44 | 1.492 37 |
| 15 c-NaHa | -110.000384 -110669006 | 1.7210 | 17.388 | 19 893 | -83.973321 -105154904 | 58.579.005 57.645.830 | 91.390 49 | 0.01790 |
| C-1(2112 | 110.009 000 | 5.1075 | 10.007 | 17.075 | 105.15 4704 | 57.045 050 | JJ.JUJ 40 | 1.100 20 |
| | 110 (04 22) | 0.0000 | B3LY | P/6-311++C | $3^{**} \epsilon = 78.5$ | 57.010.110 | 02 501 75 | 1 501 07 |
| $I-N_2H_2$ | -110.684 326 -110.612 775 | 0.0000 | 19.467 | 23.515 | -98.311 913 | 57.812 119 28 886 541 | 83.391 /3 | 1.591 27 |
| rs c-NaHa | -110.012773 -110.678.069 | 2.0030 | 19 763 | 27.431 | -98 396 627 | 57 934 482 | 83 559 01 | 1 422 74 |
| C-112112 | 110.078 009 | 5.0992 | 19.705 | 24.090 | 98.590 027 | 57.954 402 | 05.559 01 | 1.422 /4 |
| FG | 002 000 2/7 | 1.0405 | H 20.002 | IF/6-311G** | $\epsilon = 1.0$ | 140 200 101 | 12 057 12 | 0.5(1.71 |
| F ₂ S ₂ | -993.900 367 | 1.8405 | 30.803 | 42.422 | -108.037024 -150007561 | 140.308 181 | 42.05/43 | 0.561 /1 |
| FSSE | -993 914 570 | 2 1202 | 30.907 | 45.507 | -105781788 | 160 909 807 | 34 770 37 | 0.380.00 |
| 1551 | <i>))3.)</i> 1 4 <i>31</i> 0 | 2.1202 | 50.115 | | 105.701 700 | 100.909 007 | 54.770 57 | 0.372 30 |
| EC | 002 005 216 | 2 2192 | HI 27 707 | F/6-311G** (| $\epsilon = 78.5$ | 142 021 222 | 22 657 17 | 0 567 50 |
| Г ₂ З ₂ ТS | -993.903 310 | 6 8000 | 57.767 45.457 | 59 501 | -130 /07 683 | 142.951 255 | 33.037 17 85.630 86 | 0.307 39 |
| ESSE | -993,919,933 | 2.5358 | 36.734 | 53.046 | -95.559416 | 162.353 113 | 28.122.66 | 0.365 70 |
| | | | LIE | 6 211 1 1 0* | * - 10 | | | |
| F ₂ S ₂ | -993 910 840 | 1 6388 | 35 797 | /0-311++0" 12 122 | $-112\ 201363$ | 138 111 845 | 45 575 91 | -0.440.77 |
| TS | -993 814 923 | 5 6742 | 41 194 | 45 507 | -156 193961 | 111 125 158 | 109 770 61 | -0.378.26 |
| FSSF | -993.928 948 | 1.9966 | 34.899 | 41.131 | -115.194654 | 154.904 398 | 42.832.25 | -0.36984 |
| | | | UE/ | 6 211⊥⊥C* | * ~ - 78 5 | | | |
| FaSa | -993 915 2/3 | 2 3038 | 45 131 | 5/ 913 | -101605613 | 141 208 678 | 36 554 77 | -0 358 /8 |
| TS | -993.836.786 | 7.1499 | 51.985 | 59.501 | -144.431.016 | 113.814 100 | 91.642.06 | -0.18644 |
| FSSF | -993.934 008 | 2.4447 | 43.490 | 53.046 | -107.971848 | 153.316 761 | 38.019 07 | $-0.435\ 13$ |
| | | | B3I | VP/6 311C | ** c = 1.0 | | | |
| F ₂ S ₂ | -996 112 862 | 1,7729 | 34,885 | 47,242 | -130.992.581 | 59,040,633 | 145,315,65 | 0.572.64 |
| TS | -996.043 840 | 3.9867 | 38.279 | 50.508 | -146.012377 | 30.836 549 | 345.687 42 | 0.534 12 |
| FSSF | -996.115 395 | 2.1556 | 34.752 | 46.651 | -124.594 970 | 68.851 977 | 112.733 92 | 0.494 87 |
| | | | B3I | VP/6-311G* | $* \epsilon = 78.5$ | | | |
| F ₂ S ₂ | -996,116,940 | 2.1414 | 44.275 | 62.364 | -121.833.862 | 59,665,020 | 124,390,22 | 0.567.25 |
| TS | -996.056 231 | 5.0684 | 49.523 | 67.696 | -137.333715 | 32.279 855 | 292.141 17 | 0.539 90 |
| FSSF | -996.120 416 | 2.6163 | 43.879 | 61.380 | -115.878 656 | 69.172 015 | 97.061 38 | 0.482 45 |
| | | | R3I V | ′P/6-311++4 | $G^{**} \epsilon = 1.0$ | | | |
| F_2S_2 | -996,127,309 | 1.9396 | 40.616 | 47.242 | -135.134 242 | 58,350 356 | 156.479 45 | -0.40224 |
| TS | -996.065 235 | 4.4250 | 44.782 | 50.508 | -154.694 177 | 31.147 174 | 384.148 64 | -0.282 84 |
| FSSF | -996.134 491 | 2.2138 | 40.549 | 46.651 | -131.714 234 | 68.020 507 | 127.525 07 | -0.103 29 |
| | | | BILY | P/6-311++C | $i^{**} \epsilon = 78.5$ | | | |
| F_2S_2 | -996.131 333 | 2.5246 | 52.401 | 62.364 | -125.771 578 | 59.235 166 | 133.522 80 | -0.374 26 |
| TS | -996.078 879 | 5.7936 | 58.456 | 67.696 | -145.579 386 | 33.403 124 | 317.236 16 | -0.14233 |
| FSSF | -996.139 445 | 2.7359 | 52.014 | 61.380 | -122.382 946 | 68.409 572 | 109.469 95 | $-0.042\ 21$ |



Figure 1. Optimized geometries for the reactants, TS, and products involved in (a) reaction I, $HF/6-311G^{**}$ calculations, values in brackets are from DFT calculations and (b) reaction II, $HF/6-311++G^{**}$ calculations, values in brackets are from DFT calculations. Bond lengths are given in angstroms and angles in degrees.

molecular valencies are calculated in the stationary points. The effect of inclusion of diffuse functions has also been studied. Both Hartree–Fock (HF) and DFT calculations have been performed. The theoretical background of the present work is given in section 2, whereas section 3 deals with the details of computation. Section 4 presents the results and discussion, and section 5 contains some concluding remarks.

2. Theoretical Background

A finite difference approximation to μ (eq 1) and η (eq 2) leads to³¹

$$\mu = -\left(\frac{I+A}{2}\right) \tag{10}$$

and

$$\eta = \left(\frac{I-A}{2}\right) \tag{11}$$

where I is the first ionization potential and A is the electron affinity. Further use of Koopmans' theorem gives³¹

$$\mu = \frac{\epsilon_{\text{HOMO}} + \epsilon_{\text{LUMO}}}{2} \tag{12}$$

and



Figure 2. (a) Profiles of energy, chemical potential, hardness, and polarizability in vacuum and solvent for reaction I.

$$\eta = \frac{\epsilon_{\text{LUMO}} - \epsilon_{\text{HOMO}}}{2} \tag{13}$$

where $\epsilon_{\text{HOMO(LUMO)}}$ is the energy of the highest occupied (lowest unoccupied) molecular orbital.

Condensed Fukui functions in atom A in a molecule are calculated as

$$f_{\rm A}^{\rm +}(r) = [q_{N+1}^{\rm A}(r) - q_{N}^{\rm A}(r)]$$
(14)

and

$$f_{\rm A}^{-}(r) = [q_{\rm N}^{\rm A}(r) - q_{\rm N-1}^{\rm A}(r)]$$
(15)

where q_M^A is the Mulliken population at the atomic site A in a

molecule containing *M* electrons. The geometries of (N + 1)and (N - 1)-electron systems are taken to be the same as that of the *N*-electron system.³

Atomic valencies V_A are calculated by adding all of the offdiagonal elements (for a given atomic site) of the bond order matrix (Mulliken population), and the molecular valency is obtained as²⁶

$$V_{\rm M} = \frac{1}{2} \sum_{\rm A} V_{\rm A} \tag{16}$$

For the two reactions studied here, the reactants and products are chosen in such a way that a reactant goes to the respective product via the corresponding TS when the value of the



Figure 2. (b) Profiles of energy, chemical potential, hardness, and polarizability in vacuum and solvent for reaction II. See the text for details.

associated reaction coordinate increases. For this definition, reaction I is endothermic and reaction II is exothermic.

3. Computational Details

Geometry optimization and frequency calculation for various species associated with the intramolecular rearrangement reaction I have been performed at the HF/6-311G** and DFT/6-311G** levels of theory, whereas for reaction II, it has been performed at the HF/6-311++G** as well as DFT/6-311++G** levels. To see the effect of including the diffuse functions the reactant, the TS and the product for the former reaction have been studied also with 6-311++G** basis set and for the latter with 6-311G** basis set as well. The exchange-correlation functionals in all DFT calculations have been taken to be B3LYP.^{32,33}

Various profiles are generated along the internal reaction coordinate (IRC), which is just the N1–N2–H4 angle for the first reaction that goes from $\theta = 107.74^{\circ}$ at the trans conformation to $\theta = 247.74^{\circ}$ at the cis one passing through the TS at θ = 177.74°, as is shown in Figure 1a. In the F₂S₂ \rightarrow FSSF reaction, a fluorine atom migrates from one sulfur to another following a more complex IRC with a F3–S1–S2 angle $\theta =$ 69.18° at the TS structure (see Figure 1b). The μ and η values are calculated using eqs 12 and 13, respectively, and f_A^{\pm} and V_M are obtained by using respectively eqs 14–16. The polarizability is calculated using both Pople's as well as Sadlej's³⁴ basis set. All of the calculations have been accomplished using the Gaussian 94 package.³⁵

The solvent is taken to be water ($\epsilon = 78.5$) and has been modeled as SCI-PCM.³⁶ The self-consistent reaction field



Figure 3. (a) Profile of the electrophilicity index and dipole moment in vacuum and solvent for reaction I.

(SCRF) models of solvation represent the solvent as a continuum of uniform dielectric constant ϵ , and the solute is placed into a cavity within the solvent. In the SCI-PCM model, the cavity, defined as an isosurface, and the electron density are necessarily coupled in the SCF procedure.³⁶

4. Results and Discussion

Optimized structures of the reactant (R), the TS, and the product (P) of the reactions I and II along with the geometrical parameters are depicted in Figure 1a,b, respectively. The quantities within the brackets are from the DFT calculations. Table 1 presents all six vibrational frequencies of R, TS, and P for both of the reactions. All of the frequencies for R and P confirm that they correspond to minimum energy equilibrium

structures, whereas one imaginary frequency each for the TSs of both reactions confirm that they are true TSs.

Energy (au), chemical potential (kcal/mol), hardness (kcal/mol), dipole moment (D), polarizability (au, with Pople and Sadlej basis sets), electrophilicity index (kcal/mol), and molecular valency values for R, TS, and P for both of the reactions in gas and solution phases calculated at HF(B3LYP)/6-311G** and HF(B3LYP)/6-311++G** levels are presented in Table 2. In reaction I, R is more stable than P, whereas P is more stable than R in reaction II. The TSs have been found to be softer and more polarizable in all cases, indicating the validity of MHP and MPP. The α values for reaction I obtained with Pople's basis set do not always show the proper behavior. However, for reaction II, both Pople's and Sadlej's bases provide



Figure 3. (b) Profiles of the electrophilicity index and dipole moment in vacuum and solvent for reaction II. See the text for details.

identical trends. In reaction I, the dipole moment of the TS is between those of R and P, whereas in reaction II, the TS is more polar than those of both R and P. Water being a polar solvent will have more dramatic effects on all quantities for the species with larger dipole moment. Because R is more stable than P in reaction I, one would expect $\eta_R > \eta_P$ and $\alpha_R < \alpha_P$. Although $\alpha_R < \alpha_P$ is always found to be valid, the $\eta_R > \eta_P$ condition is found to be valid only for HF/6-311G** calculations without solvent. The condition $\eta_R > \eta_P$ is satisfied in both the presence and absence of the solvent when diffuse functions are included at the HF level of calculation. There is no change in the trend for the DFT calculation even when diffuse functions are added.

For reaction II, which is of Hammond type,¹ P is more stable than R, and as expected from MHP and MPP, $\eta_P > \eta_R$ and $\alpha_P < \alpha_R$ in all of the cases. Molecular valency is minimum for the

TS and maximum for the most stable species in most cases of reaction I, but it becomes negative for reaction II when calculated using diffuse functions and shows uncharacteristic trends otherwise. Even though in both reactions the TS is softer than those of both R and P, for reaction I, the TS is less electronegative and less electrophilic than those of both R and P, whereas for reaction II, the TS is more electronegative and more electrophilic than those of both R and P. This result justifies the definition of the electrophilicity index given by Parr et al.³⁰ because a more electronegative system is supposed to be more electrophilic as well.

Profiles of Global Reactivity Indices along a Reaction Path. In Figure 2, we present the profiles of ΔE , $\Delta \mu$, $\Delta \eta$, and $\Delta \alpha$ along the IRC. The quantities are calculated relative to the corresponding reactant value, viz., for a property Q, ΔQ (IRC) = Q(IRC) - Q(reactant). All of these quantities attain their

| TABLE 3. | Reaction and Activation | Global Properties for | the Intramolecular | Conversion of N | J.H. and F.S.ª |
|----------|--------------------------------|------------------------------|--------------------|-----------------|-----------------|
| INDEL 5. | iteaction and richvation | Global I Toper des Tor | the meranorecular | Conversion of 1 | 12112 and 1 202 |

| | HF/6-311G** | | HF/6-31 | 1++G** | B3LYP/6 | B3LYP/6-311G** B3LYP/6-311 | | |
|---|------------------|-------------------|------------------|-------------------------------|------------------|----------------------------|------------------|-------------------|
| | $\epsilon = 1.0$ | $\epsilon = 78.5$ | $\epsilon = 1.0$ | $\epsilon = 78.5$ | $\epsilon = 1.0$ | $\epsilon = 78.5$ | $\epsilon = 1.0$ | $\epsilon = 78.5$ |
| | | | | $t-N_2H_2 \rightarrow c-N_2H$ | 2 | | | |
| ΔE° | 6.9459 | 3.8928 | 7.5737 | 4.3630 | 5.9013 | 3.3533 | 6.6901 | 3.9268 |
| ΔE^{\neq} | 56.7807 | 55.0303 | 55.6752 | 53.9077 | 50.9075 | 49.5858 | 45.9859 | 44.8992 |
| $\Delta \mu^{\circ}$ | -2.7108 | -1.3617 | -5.6884 | -2.9179 | -2.7830 | -1.1860 | -1.4558 | -0.0847 |
| $\Delta \mu^{\ddagger}$ | 25.9067 | 25.7530 | 20.2748 | 21.2820 | 22.8037 | 22.6876 | 19.7258 | 20.5572 |
| $\Delta \eta^{\circ}$ | -0.0941 | 0.1381 | -3.6552 | -1.8762 | 0.4110 | 0.4706 | 0.0502 | 0.1224 |
| $\Delta \eta^{\dagger}$ | -22.8006 | -22.5715 | -27.1459 | -26.5404 | -19.7226 | -19.7258 | -19.0166 | -18.9256 |
| $\Delta \alpha^{\circ}_{Pople}$ | 0.3592 | 0.1663 | 0.2901 | 0.1096 | 0.6781 | 0.4674 | 0.5285 | 0.2952 |
| $\Delta \alpha^{*}_{\text{Pople}}$ | -0.1121 | -0.1514 | 0.4531 | 0.5410 | 0.2065 | 0.2766 | 1.0467 | 1.2354 |
| $\Delta \alpha^{\circ}_{\text{Sadlej}}$ | 0.2582 | 0.1854 | 0.2582 | 0.1854 | 0.6013 | 0.5745 | 0.6013 | 0.5745 |
| $\Delta \alpha^{*}_{\text{Sadlej}}$ | 1.7961 | 2.3879 | 1.7961 | 2.3879 | 2.8881 | 3.9162 | 2.8881 | 3.9162 |
| | | | | $F_2S_2 \rightarrow FSSF$ | | | | |
| ΔE° | -8.9127 | -9.1725 | -11.3632 | -11.7755 | -1.5895 | -2.1813 | -4.5069 | -5.0905 |
| ΔE^{\neq} | 64.4204 | 54.0035 | 60.1903 | 49.2337 | 43.3130 | 38.0964 | 38.9529 | 32.9162 |
| $\Delta \mu^{\circ}$ | 2.8552 | 2.5289 | -2.9933 | -6.3662 | 6.3976 | 5.9552 | 3.4200 | 3.3886 |
| $\Delta \mu^{\ddagger}$ | -41.4605 | -41.3193 | -43.9926 | -42.8254 | -15.0198 | -15.4999 | -19.5599 | -19.8078 |
| $\Delta \eta^{\circ}$ | 20.6016 | 19.4219 | 16.7926 | 12.1081 | 9.8113 | 9.5070 | 9.6702 | 9.1744 |
| $\Delta \eta^{\dagger}$ | -28.3265 | -29.4529 | -26.9867 | -27.3946 | -28.2041 | -27.3852 | -27.2032 | -25.8320 |
| $\Delta \alpha^{\circ}_{Pople}$ | -0.6901 | -1.0533 | -0.8982 | -1.6411 | -0.1333 | -0.3961 | -0.0674 | -0.3871 |
| $\Delta \alpha^{*}_{\text{Pople}}$ | 6.1643 | 7.6705 | 5.3973 | 6.8540 | 3.3942 | 5.2484 | 4.1661 | 6.0550 |
| $\Delta \alpha^{\circ}_{\text{Sadlej}}$ | -1.2913 | -1.8671 | -1.2913 | -1.8671 | -0.5912 | -0.9841 | -0.5912 | -0.9841 |
| $\Delta \alpha^{\sharp}_{Sadlej}$ | 3.0852 | 4.5880 | 3.0852 | 4.5880 | 3.2664 | 5.3321 | 3.2664 | 5.3321 |

^a Variations in energy, chemical potential, and hardness are given in kcal/mol. Variations in polarizability are given in au.

| TABLE 4: Condensed To Atom Fukui | Functions and Atomic ' | Valency at the Stationary | Points along the IRC for the |
|--|----------------------------|---------------------------|------------------------------|
| Intramolecular Conversion of N ₂ H ₂ and | $\mathbf{F}_2\mathbf{S}_2$ | | - |

| | $f^+_{\rm N1}$ | f^+ r | f^+ H3 | $f^+_{ m H4}$ | $f_{\rm N1}$ | f_{N2} | с f-н | $f_{\rm H}$ | 14 V _{N1} | V_{N2} | $V_{\rm H3}$ | $V_{\rm H4}$ |
|-------------------------------|----------------|---------------------|---------------|---------------|--------------|-----------------------|-------------------------|--------------|--------------------|-----------------|-----------------|-----------------|
| | | | | | Н | F/6-311G ³ | $** \epsilon = 1.0$ | | | | | |
| $t-N_2H_2$ | 0.356 2 | 0.356 | 5 23 0.143 | 77 0.143 77 | 0.324 7 | 3 0.324 | 73 0.175 | 27 0.175 | 5 27 1.343 3 | 4 1.343 34 | 4 0.556 03 | 0.556 03 |
| TS | 0.344 (| 0 0.376 | 585 0.161 | 55 0.117 59 | 0.230 5 | 5 0.519 | 14 0.163 | 09 0.087 | 22 1.153 1 | 0 1.079 55 | 5 0.432 63 | 0.758 38 |
| $c-N_2H$ | 2 0.355 1 | 14 0.355 | 5 38 0.144 | 72 0.144 76 | 0.309 2 | 5 0.300 | 76 0.196 | 03 0.193 | 96 1.366 0 | 8 1.367 8 | 5 0.487 19 | 0.486 34 |
| HF/6-311G** $\epsilon = 78.5$ | | | | | | | | | | | | |
| $t-N_2H_2$ | 0.363 | 0.363 | 3 18 0.136 | 82 0.13682 | 0.292 0 | 9 0.292 | 09 0.207 | 91 0.207 | 91 1.354 7 | 5 1.354 75 | 5 0.584 30 | 0.584 30 |
| TS | 0.354 8 | 36 0.374 | 488 0.147 | 26 0.123 00 | 0.1787 | 0 0.493 | 79 0.182 | 77 0.144 | 74 1.18902 | 2 1.048 32 | 2 0.472 26 | 0.731 59 |
| $c-N_2H$ | 2 0.359 1 | 0.359 | 09 09 0.140 | 82 0.140 94 | 0.266 6 | 6 0.256 | 08 0.241 | 48 0.235 | 578 1.368 8 | 7 1.370 90 | 6 0.562 53 | 0.561 72 |
| | | | | | B3I | LYP/6-311 | $G^{**} \epsilon = 1.0$ |) | | | | |
| $t-N_2H_2$ | 0.348 6 | 64 0.348 | 3 64 0.151 | 36 0.151 36 | 0.333 8 | 0 0.333 | 80 0.166 | 20 0.166 | 520 1.228 6 | 1 1.228 6 | 0.496 33 | 0.496 33 |
| TS | 0.321 8 | 0.340 | 0.194 | 00 0.143 97 | 0.285 2 | 1 0.416 | 70 0.186 | 39 0.111 | 70 1.112 1 | 5 1.129 73 | 3 0.296 70 | 0.728 87 |
| $c-N_2H$ | 2 0.3417 | 0.34 | 0.158 | 35 0.158 22 | 0.313 0 | 5 0.314 | 51 0.186 | 14 0.186 | 531 1.2507 | 0 1.248 90 | 0.375 05 | 0.375 75 |
| | | | | | B3L | YP/6-3110 | $G^{**} \epsilon = 78.$ | 5 | | | | |
| $t-N_2H_2$ | 0.359 8 | 0.359 | 9 82 0.140 | 18 0.140 18 | 0.303 8 | 1 0.303 | 81 0.196 | 19 0.196 | 519 1.2514 | 8 1.251 48 | 8 0.528 87 | 0.528 87 |
| TS | 0.340 2 | 0.357 | 7 21 0.164 | 00 0.138 55 | 0.234 1 | 2 0.383 | 66 0.216 | 77 0.165 | 5 45 1.157 0 | 1 1.116 90 | 5 0.33966 | 0.716 37 |
| $c-N_2H$ | 0.352 | 0.35 | 0.148 | 03 0.147 89 | 0.271 8 | 4 0.274 | 05 0.226 | 23 0.227 | 89 1.275 7 | 4 1.273 6 | 0.465 74 | 0.466 44 |
| | f^+ sı | f^+ _{S2} | $f^+_{ m F3}$ | $f^+_{ m F4}$ | f^{-} sı | f_{s_2} | $f^{\rm F3}$ | $f_{\rm F4}$ | V _{S1} | V _{S2} | V _{F3} | V _{F4} |
| | | | | | HF | 6-311++ | $G^{**} \epsilon = 1.0$ |) | | | | |
| F_2S_2 | 0.566 89 | 0.408 70 | 0.012 13 | 0.012 23 | 0.223 23 | 0.653 82 | 0.061 45 | 0.061 50 | -0.354 61 | 0.288 39 | -0.407 36 | -0.407 96 |
| TS | 0.564 21 | 0.969 31 | $-0.608\ 12$ | 0.074 61 | 0.126 99 | 0.213 05 | 0.608 43 | 0.051 53 | -0.10212 | 0.20653 | -0.40641 | -0.45452 |
| FSSF | 0.594 39 | 0.594 11 | -0.094 21 | -0.09429 | 0.220 47 | 0.602 51 | 0.094 99 | 0.082 03 | -0.001~70 | 0.063 19 | -0.40057 | -0.40059 |
| | | | | | HF/ | 6-311++0 | $G^{**} \epsilon = 78.$ | 5 | | | | |
| F_2S_2 | 0.591 50 | 0.369 49 | 0.019 46 | 0.019 55 | 0.190 12 | 0.712 47 | 0.048 59 | 0.048 82 | $-0.300\ 18$ | 0.246 15 | -0.331 22 | -0.331 70 |
| TS | 0.382 37 | 0.996 38 | -0.451 39 | 0.072 65 | 0.118 02 | 0.162 91 | 0.685 64 | 0.033 43 | 0.091 72 | 0.343 83 | -0.38885 | -0.41874 |
| FSSF | 0.573 73 | 0.573 29 | -0.07347 | -0.073 56 | 0.128 51 | 0.714 60 | 0.089 32 | 0.067 58 | -0.02064 | -0.020 61 | -0.41449 | -0.414 52 |
| | | | | | B3LY | P/6-311+ | $+G^{**}\epsilon =$ | 1.0 | | | | |
| F_2S_2 | 0.517 98 | 0.404 67 | 0.038 41 | 0.038 95 | 0.247 50 | 0.544 57 | 0.103 13 | 0.104 44 | -0.27009 | 0.308 00 | -0.421 13 | -0.421 27 |
| TS | 0.317 67 | 0.461 17 | 0.137 40 | 0.083 76 | 0.226 41 | 0.312 31 | 0.354 08 | 0.099 60 | 0.015 27 | 0.227 36 | -0.40350 | $-0.404\ 81$ |
| FSSF | 0.516 41 | 0.471 51 | 0.013 20 | 0.003 42 | 0.353 86 | 0.354 35 | 0.146 03 | 0.145 76 | 0.253 27 | 0.253 17 | -0.35656 | -0.356 45 |
| | | | | | B3LY | P/6-311+- | $+G^{**}\epsilon = 7$ | 8.5 | | | | |
| F_2S_2 | 0.492 58 | 0.414 62 | 0.046 36 | 0.046 43 | 0.240 76 | 0.608 84 | 0.074 91 | 0.075 50 | -0.274 36 | 0.310 87 | -0.392 18 | -0.392 86 |
| TS | 0.327 20 | 0.470 43 | 0.123 08 | 0.079 28 | 0.258 66 | 0.309 94 | 0.359 21 | 0.072 19 | 0.136 64 | 0.327 94 | -0.37607 | -0.373 17 |
| FSSF | 0.486 60 | 0.486 74 | 0.013 50 | 0.013 16 | 0.325 09 | 0.418 30 | 0.135 64 | 0.120 97 | 0.275 00 | 0.346 31 | -0.35277 | -0.352 97 |
| | | | | | | | | | | | | |

extremal potential (maximum or minimum) values around the IRC of the TS except for the $\Delta \alpha$ profile of reaction I, which is slightly asymmetric and shifted toward the right. Because the $\Delta \alpha_{Pople}$ profile does not reproduce the expected trend in reaction

I, in Figure 2, we present only the $\Delta \alpha_{\text{Sadlej}}$ profiles. It is heartening to note that the $\Delta \alpha$ profile generally mimicks the ΔE profile, whereas the $\Delta \eta$ profile mirrors them as a clear signature of MHP and MPP in the context of intramolecular



Figure 4. (a) Profiles of the nucleophilic Fukui function at site $k_i f_k^-$ in vacuum and solvent for reaction I.

rearrangement reactions. The profile of $\Delta\mu$ is a mirror image of the $\Delta\eta$ profile for reaction I, and it is like the $\Delta\eta$ profile for reaction II. The most discernible change in the presence of a solvent is shown by the $\Delta\alpha$ profile in all cases. It may be noted that all quantities do not exhibit clear-cut extrema in all of the cases of reactants and products, which may be due to the approximations (cf. eqs 12 and 13) involved in their calculation. Total energy is, however, minimum for both the reactants and products and maximum for the TS which is confirmed by respective frequency calculations.

The profiles of the electrophilicity index are depicted in Figure 3 along with those of the dipole moment. In all cases, the electrophilicity goes down in the presence of the solvent. To check whether this is a generic result, more systems should be studied in the presence of various solvents. Even it is difficult

to say at this stage whether μ is affected more than η in the presence of a solvent because the $\Delta \mu$ profile lies below the $\Delta \eta$ profile in the HF calculation but not in the DFT calculation. Although the dipole moment increases monotonically for reaction I and passes through a maximum at the TS for reaction II, ω pass through extrema in the TS in all cases. The chemical potential profile is a better indicator than the dipole moment profile in analyzing the electrophilicity patterns. Extremization of this index can be understood in the same terms for μ and η , it is easy to show from eq 9 that when both μ and η are extrema ω also attains an extremum value at that point.

Table 3 presents the ΔQ° and ΔQ^{\neq} values where the property Q is E, μ , η , and α (Pople and Sadlej) and $\Delta Q^{\circ} = Q(P) - Q(R)$ and $\Delta Q^{\neq} = Q(TS) - Q(R)$. Reaction I is not favorable both thermodynamically (endothermic) and kinetically. Addition



Figure 4. (b) Profiles of the nucleophilic Fukui function at site $k_i f_k^-$ in vacuum and solvent for reaction II. See the text for details.

of solvent improves the situation in both accounts, in the sense that the reverse reaction becomes less favorable. Reaction II is, however, thermodynamically favorable (exothermic). Presence of the solvent makes the reaction more favorable both thermodynamically and kinetically.

Profiles of Local Reactivity Indices along a Reaction Path. Different local quantities like atomic valencies V_A and electrophilic and nucleophilic Fukui functions (f_A^{\pm}) are given in Table 4. Electrophilicity (ω) is a global reactivity parameter, its extremization around the TS results from the corresponding extremization of f_k^{\pm} at the site k. For reaction I, ω minimizes around the TS mainly because of that of f_{N1}^{+} and f_{H4}^{+} . Around the TS, ω is maximum for reaction II mainly because of the change in f_{F3}^{+} along the IRC. V_A values do not provide and conclusive information. To understand the situation better, the profiles of different f_A^- quantities are presented in Figure 4. These profiles show the variation of the selectivity pattern during the progress of the reaction. It is interesting to note that the TS geometry can be approximately identified from the intersection point of f_{N1} and f_{H3} (only in the presence of solvent) for reaction I and from the first intersection point of f_{S1} and f_{S2} (better in the presence of solvent) for reaction II. HF and DFT calculations reveal identical trends in almost all cases, although the numerical values differ.

5. Concluding Remarks

Ab initio SCF and DFT calculations have been performed in order to gain insights into the effect of a solvent in the intramolecular rearrangement reactions like reactions I and II. Energy and polarizability profiles pass through maxima, and hardness profile passes through a minimum at the TS, indicating the validity of the principles of maximum hardness and minimum polarizability. In the solution phase, the reactions are both thermodynamically and kinetically more favorable. Electrophilic condensed Fukui functions can identify the particular atomic site responsible for extremization of the global electrophilicity index around the TS. Electronegativity profiles follow identical trends as those of the profiles of the electrophilicity index. Appreciable changes in various properties in the presence of the solvent are discernible for the species with large dipole moments. All species associated with these reactions become less electrophilic in aqueous solution.

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